

# MTH 304: Metric Spaces and Topology

## Semester 2, 2016-17

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# 1 Topological spaces

## 1.1 Basic definitions and examples

- (i) Let  $X$  be a set, and  $\mathcal{T}$  a collection of subsets of  $X$  such that
- (a)  $\emptyset, X \in \mathcal{T}$ ,
  - (b) for an arbitrary index set  $J$ , and a subcollection  $\{V_\alpha\}_{\alpha \in J} \subset \mathcal{T}$ , we have

$$\bigcup_{\alpha \in J} V_\alpha \in \mathcal{T}$$

(i.e  $\mathcal{T}$  is closed under arbitrary union), and

- (c) for an finite subcollection  $\{W_1, \dots, W_n\} \subset \mathcal{T}$ , we have

$$\bigcap_{i=1}^n W_i \in \mathcal{T}.$$

Then  $\mathcal{T}$  is said to define a *topology* on  $X$ , and the pair  $(X, \mathcal{T})$  is called a *topological space*.

- (ii) Let  $(X, \mathcal{T})$  be a topological space. Then each  $U \in \mathcal{T}$  is called an *open set*.
- (iii) Let  $X$  be a set, and  $\mathcal{T}, \mathcal{T}'$  be topologies on  $X$ . Then  $\mathcal{T}$  is said to be *coarser* (or equivalently,  $\mathcal{T}'$  is said to be *finer* than  $\mathcal{T}$ ) if  $\mathcal{T} \subset \mathcal{T}'$ .
- (iv) Examples of topological spaces. Let  $X$  be any set.
  - (a) The collection  $\{\emptyset, X\}$  defines a topology on  $X$  called the *indiscrete topology* on  $X$ . This is the coarsest possible topology that can be defined on  $X$ .
  - (b) The power set  $\mathcal{P}(X)$  of  $X$  defines a topology on  $X$  called the *discrete topology* on  $X$ .  $\mathcal{P}(X)$  is the finest possible topology that can be defined on  $X$ .
  - (c) The collection  $\{S \subset X \mid |X \setminus S| < \infty\}$  defines topology on  $X$  called the *cofinite topology* on  $X$ .
  - (d) The collection  $\{S \subset X \mid X \setminus S \text{ is countable}\}$  defines a topology on  $X$  called the *cocountable topology* on  $X$ .

## 1.2 Basis and subbasis for a topology

- (i) Given a set  $X$ , and a collection  $\mathcal{B}$  of subsets of  $X$  such that
  - (a) for each  $x \in X$ , there exists  $B \in \mathcal{B}$  such that  $x \in B$ , and
  - (b) for any pair of elements  $B_1, B_2 \in \mathcal{B}$  and  $y \in B_1 \cap B_2$ , there exists  $B' \in \mathcal{B}$  such that  $y \in B' \subset B_1 \cap B_2$ .

Then  $\mathcal{B}$  is said to form a *basis for a topology  $\mathcal{T}$  on  $X$*  (or  $\mathcal{B}$  *generates a topology  $\mathcal{T}$  on  $X$* ) if for each  $U \in \mathcal{T}$  and for each  $x \in U$ , there exists  $B \in \mathcal{B}$  such that  $x \in B \subset U$ .

- (ii) Let  $(X, \mathcal{T})$  be a topological space. Let  $\mathcal{B} \subset \mathcal{T}$  be any subcollection such that for each  $U \in \mathcal{T}$  and each  $x \in U$ , there exists  $B \in \mathcal{B}$  such that  $x \in B \subset U$ . Then  $\mathcal{B}$  generates the topology  $\mathcal{T}$ .
- (iii) Let  $X$  be a set, and  $\mathcal{B}$  a basis for a topology  $\mathcal{T}$  on  $X$ . Then  $\mathcal{T}$  is the collection of all arbitrary unions of elements in  $\mathcal{B}$ .
- (iv) Let  $\mathcal{B}$  and  $\mathcal{B}'$  be bases for topologies  $\mathcal{T}$  and  $\mathcal{T}'$  respectively, on  $X$ . Then  $\mathcal{T} \subset \mathcal{T}'$  if and only if for each  $B \in \mathcal{B}$  and each  $x \in B$ , there exists  $B' \in \mathcal{B}'$  such that  $x \in B' \subset B$ .
- (v) Examples of bases for topologies on  $X = \mathbb{R}$ .
  - (a) The collection of all open intervals

$$\mathcal{B} = \{(a, b) \mid a, b \in \mathbb{R} \text{ and } a < b\}$$

forms a basis for a topology on  $\mathbb{R}$  called the *standard topology*. Note that the real line with this topology is simply denoted by  $\mathbb{R}$ .

- (b) The collection

$$\mathcal{B}_\ell = \{[a, b) \mid a, b \in \mathbb{R} \text{ and } a < b\}$$

is a basis for a topology  $\mathbb{R}_\ell$  on  $\mathbb{R}$  called the *lower limit topology*.

- (c) The collection

$$\mathcal{B}_K = \{(a, b) \mid a, b \in \mathbb{R} \text{ and } a < b\} \cup \{(a, b) \setminus K \mid a, b \in \mathbb{R} \text{ and } a < b\},$$

where  $K = \{\frac{1}{n} \mid n \in \mathbb{N}\}$ , is a basis for a topology  $\mathbb{R}_K$  on  $\mathbb{R}$  called the *K-topology*. The topologies  $\mathbb{R}_K$  and  $\mathbb{R}_\ell$  are strictly finer than standard topology  $\mathbb{R}$ , but they are not comparable.

- (vi) Let  $X$  be set, and let  $\mathcal{S}$  be any collection of subsets of  $X$  whose union equals  $X$ . Then the collection  $\mathcal{B}$  of all finite intersections of elements in  $\mathcal{S}$  forms a basis for a topology  $\mathcal{T}$  on  $X$ . Any such collection  $\mathcal{S}$  is called a *subbasis* for the topology  $\mathcal{T}$ , or in other words,  $\mathcal{S}$  *generates*  $\mathcal{T}$ .

### 1.3 The Product Topology $X \times Y$

- (i) Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces. Then the collection

$$\mathcal{B} = \{U \times V \mid U \in \mathcal{T}_X \text{ and } V \in \mathcal{T}_Y\}$$

forms a basis for a topology on  $X \times Y$  called the *product topology*. The product topology is simply denoted by  $X \times Y$ .

- (ii) Let  $\mathcal{B}_X$  be a basis for  $(X, \mathcal{T}_X)$  and  $\mathcal{B}_Y$  a basis for  $(Y, \mathcal{T}_Y)$ . Then the collection

$$\mathcal{B}_{X \times Y} = \{B \times C \mid B \in \mathcal{B}_X \text{ and } C \in \mathcal{B}_Y\}$$

forms a basis for the product topology  $X \times Y$ .

- (iii) Examples for product topology on  $\mathbb{R}^n$ .

- (a) The collection

$$\{(a, b) \times (c, d)\}$$

of all open rectangles in  $\mathbb{R}^2$  (i.e. products of open intervals in  $\mathbb{R}$ ) forms a basis for a topology on  $\mathbb{R}^2$  called the *standard topology on  $\mathbb{R}^2$* .

- (b) Generalizing Example (i), the collection

$$\left\{ \prod_{i=1}^n (a_i, b_i) \right\}$$

of all open  $n$ -dimensional cubes in  $\mathbb{R}^n$  (i.e. products of  $n$  open intervals in  $\mathbb{R}$ ) forms a basis for a topology on  $\mathbb{R}^n$  called the *standard topology on  $\mathbb{R}^n$*  (denoted simply by  $\mathbb{R}^n$ ).

- (c) For  $x \in \mathbb{R}^n$  and  $r > 0$ , consider the open ball

$$B_{x,r} = \{y \in \mathbb{R}^n \mid \|y - x\| < r\}.$$

Then the collection

$$\{B_{x,r} \mid x \in \mathbb{R}^n \text{ and } r > 0\}$$

also forms a basis for the standard topology  $\mathbb{R}^n$ . In the subject of Real Analysis, this is most preferred topology on  $\mathbb{R}^n$ .

(iv) For  $1 \leq i \leq n$ , let  $(X_i, \mathcal{T}_i)$  be topological spaces, and let

$$p_i : \prod_{j=1}^n X_j \rightarrow X_i$$

be the projection map onto the  $i^{\text{th}}$  coordinate. Then the collection

$$\mathcal{S} = \{p_i^{-1}(U_i) \mid 1 \leq i \leq n \text{ and } U_i \in \mathcal{T}_i\}$$

forms a subbasis for the product topology  $\prod_{i=1}^n X_i$ .

## 1.4 Subspace topology

(i) Let  $(X, \mathcal{T})$  be a topological space, and  $Y \subset X$ . The collection

$$\mathcal{T}_Y = \{U \cap Y \mid U \in \mathcal{T}\}$$

forms a topology on  $Y$  called the *subspace topology* or *the topology inherited by  $Y$  from  $x$* . Under this topology,  $Y$  is called a *subspace* of  $X$ .

(ii) Let  $(X, \mathcal{T})$  be a topological space generated by a basis  $\mathcal{B}$ . Then the collection

$$\mathcal{B}_Y = \{B \cap Y \mid B \in \mathcal{B}\}$$

is a basis for  $\mathcal{T}_Y$ .

(iii) Let  $Y$  be a subspace of  $(X, \mathcal{T})$ . If  $V \in \mathcal{T}_y$  and  $Y \in \mathcal{T}$ , then  $V \in \mathcal{T}$ .

(iv) Examples of subspaces.

- (a) Let  $X = \mathbb{R}$  and  $Y = [0, 1]$ . Then every basic open set in the subspace topology inherited by  $[0, 1]$  from  $\mathbb{R}$  has one the following four types:

$$(a, b) \cap Y = \begin{cases} (a, b), & \text{if } a, b \in Y, \\ [0, b), & \text{if only } b \in Y, \\ (a, 1], & \text{if only } a \in Y, \\ Y, \emptyset & \text{if both } a, b \notin Y. \end{cases}$$

- (b) The set  $\mathbb{Z}$  of integers, and the set  $\mathbb{N}$  of natural numbers both inherit the discrete topology from  $\mathbb{R}$ .

## 1.5 Closed sets and limit points

- (i) Let  $(X, \mathcal{T})$  be a topological space. Then  $A \subset X$  is called a *closed* set if  $X \setminus A \in \mathcal{T}$ .
- (ii) Examples of closed sets.
- (a) In any topological space  $(X, \mathcal{T})$ ,  $\emptyset$  and  $X$  are closed sets.
- (b) The closed interval  $[a, b]$  is a closed set in  $\mathbb{R}$ . In general, the product of  $n$  closed intervals
- $$\prod_{i=1}^n [a_i, b_i]$$
- is closed in  $\mathbb{R}^n$ .
- (c) In the subspace  $Y = [0, 1] \cup (2, 3)$  of  $\mathbb{R}$ , the subsets  $[0, 1]$  and  $(2, 3)$  are both open and closed.
- (d) In the cofinite topology, all finite sets are closed.
- (e) All sets are closed in the discrete topology.
- (iii) Arbitrary intersections and finite unions of closed sets in a topological space are also closed.
- (iv) Let  $Y$  be a subspace of a topological space  $(X, \mathcal{T})$ . Then  $A$  is closed in  $Y$  if, and only if  $A = C \cap Y$  for some  $C$  closed in  $X$ .
- (v) The  $(X, \mathcal{T})$  be a topological space, and  $A \subset X$ .

- (a) The *interior* of  $A$  (denoted by  $A^\circ$ ) is the union of all open sets contained in  $A$ , that is,

$$A^\circ = \cup\{V \in \mathcal{T} \mid V \subset A\}$$

- (b) The *closure* of  $A$  (denoted by  $\bar{A}$ ) is the intersection of all closed sets containing  $A$ , that is,

$$\bar{A} = \cap\{C \mid X \setminus A \in \mathcal{T} \text{ and } A \subset C.\}$$

- (c) It follows by definition that

$$A^\circ \subset A \subset \bar{A}.$$

- (vi) Let  $Y$  be a subspace of a topological space  $X$ , and let  $A \subset Y$ . Let  $\bar{A}_Y$  denote the closure of  $A$  in  $Y$ . Then

$$\bar{A}_Y = \bar{A} \cap Y.$$

- (vii) Let  $(X, \mathcal{T})$  be a topological space, and  $A \subset X$ . Then  $x \in \bar{A}$  if, and only if, for every open set  $U \ni x$ , we have that

$$U \cap A \neq \emptyset.$$

- (viii) Let  $(X, \mathcal{T})$  be a topological space. Then  $A \subset X$  is dense in  $X$  if  $\bar{A} = X$ .

- (ix) Examples of closure of subsets of  $X = \mathbb{R}$ .

- (a) If  $A = (a, b]$  or  $[a, b)$  or  $(a, b)$ , then  $\bar{A} = [a, b]$ .

- (b) If  $A = \mathbb{Q}$  or  $\mathbb{R} \setminus \mathbb{Q}$ , then  $\bar{A} = \mathbb{R}$ . Consequently, both the rationals and the irrationals are dense subsets of  $\mathbb{R}$ .

- (c) If  $A = \{\frac{1}{n} \mid n \in \mathbb{N}\}$ , then  $\bar{A} = A \cup \{0\}$ .

- (x) Let  $(X, \mathcal{T})$  be a topological space, and  $A \subset X$ . Then  $x \in A$  is a *limit point* of  $A$  if for every open  $U \ni x$ , we have

$$U \cap (A \setminus \{x\}) \neq \emptyset.$$

The set of all limit points of  $A$  will be denoted by  $A'$ .

- (xi) Let  $(X, \mathcal{T})$  be a topological space, and  $A \subset X$ . Then

$$\bar{A} = A \cup A'.$$

Consequently,  $A$  is closed if, and only if  $A = \bar{A}$ .

## 1.6 $T_1$ and Hausdorff ( $T_2$ ) spaces

- (i) Let  $(X, \mathcal{T})$  be a topological space. Let distinct points  $x, y \in X$  can be *separated by open sets* if there exists  $U, V \in \mathcal{T}$  with  $U \cap V = \emptyset$  such that  $x \in U$  and  $y \in V$ .
- (ii) A topological space  $(X, \mathcal{T})$  is said to be *Hausdorff* or *is said to satisfy the  $T_2$ -axiom* if every pair  $x, y$  of distinct points in  $X$  can be separated by open sets.
- (iii) A topological space  $(X, \mathcal{T})$  is said to be  $T_1$  or *is said to satisfy the  $T_1$ -axiom* if for every  $x \in X$ , the set  $\{x\}$  is closed in  $X$ .
- (iv) If a topological space is Hausdorff, then it is  $T_1$ .
- (v) Every finite set of a Hausdorff space is closed.
- (vi) Let  $(X, \mathcal{T})$  be a topological space, and let  $(x_n)$  a sequence of points in  $x$ . Then the sequence is said to *converge to  $x$*  (in symbols  $x_n \rightarrow x$ ) if every open set  $U \ni x$  contains all but finitely many points of the sequence  $x_n$ .
- (vii) Let  $(X, \mathcal{T})$  be a  $T_1$  space, and  $A \subset X$ . Then  $x \in A'$  if, and only if every open set  $U \ni x$  has infinitely many points of  $A$ .
- (viii) In a Hausdorff space  $X$ , every sequence converges to at most one point in  $X$ .
- (ix) Examples of Hausdorff and non-Hausdorff spaces.
  - (a)  $\mathbb{R}^n$  is a Hausdorff space.
  - (b) Any set with the discrete topology is a Hausdorff space, as singletons are closed.
  - (c)  $\mathbb{R}$  with the cofinite topology is non-Hausdorff.
  - (d) The set  $X = \{a, b, c\}$  with the topology
$$\mathcal{T} = \{\emptyset, X, \{a, b\}, \{b, c\}, \{b\}\}$$
is non-Hausdorff, as the points  $a$  and  $c$  cannot be separated by open sets. Moreover, both  $a$  and  $c$  are limit points of the set  $\{b\}$ , which implies that  $\overline{\{b\}} = X$  or  $\{b\}$  is dense in  $X$ .
- (x) Subspaces and products of Hausdorff spaces are Hausdorff.



## 1.7 Continuous functions

(i) Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces. A map  $f : X \rightarrow Y$  is said to be *continuous* if for every  $U \in \mathcal{T}_y$ , we have  $f^{-1}(U) \in \mathcal{T}_X$ .

(ii) Examples (or non-examples) of continuous functions.

(a) For any topological space  $(X, \mathcal{T}_X)$ , and any  $c \in X$ , constant map

$$e_c : X \rightarrow \{c\}$$

is a continuous map.

(b) Any linear map  $T : \mathbb{R}^n \rightarrow \mathbb{R}^m$  is continuous.

(c) For any topological space  $(X, \mathcal{T}_X)$ , and  $A \subset X$ , the inclusion map

$$j_A : A \hookrightarrow X$$

is a continuous map.

(d) Any linear map  $T : \mathbb{R}^n \rightarrow \mathbb{R}^m$  is continuous.

(e) The projection map on a finite product topological spaces

$$\pi_i : \prod_{i=1}^n X_i \rightarrow X_i$$

is continuous.

(f) The identity map between  $i_{\mathbb{R}} : \mathbb{R} \rightarrow \mathbb{R}_{\ell}$  is not continuous.

(iii) Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces, and  $f : X \rightarrow Y$  a map. Then the following are equivalent.

(a)  $f$  is continuous.

(b) For every  $A \subset X$ , we have  $f(\bar{A}) \subset \overline{f(A)}$ .

(c) For every closed set  $C$  of  $Y$ , the set  $f^{-1}(C)$  is closed in  $X$ .

(d) For every  $x \in X$ , and each  $V \in \mathcal{T}_y$  with  $f(x) \in V$ , there exists  $U \in \mathcal{T}_X$  such that  $f(U) \subset V$ .

(iv) Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces, and  $f : X \rightarrow Y$  a bijective map. If both  $f$  and  $f^{-1}$  are continuous maps, then  $f$  is called a *homeomorphism*. When a space  $X$  is homeomorphic to a space  $Y$ , we write  $X \approx Y$ .

(v) Examples of homeomorphisms.

(a) For  $n \geq 1$ , the stereographic projection

$$P_n : \mathbb{R}^n \setminus \{0\} \rightarrow S^{n-1}$$

is a homeomorphism.

(b) A vector space isomorphism  $T : \mathbb{R}^n \rightarrow \mathbb{R}^n$  is a homeomorphism.

(vi) Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces, and  $f : X \rightarrow Y$  a map. Then  $f$  is said to be an *open map* if for every  $U \in \mathcal{T}_X$ , we have  $f(U) \in \mathcal{T}_Y$ .

(vii) A bijective map  $f : X \rightarrow Y$  is a homeomorphism if, and only if  $f$  is an open and continuous map.

(viii) Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces, and  $f : X \rightarrow Y$  an injective continuous map. We say that  $f$  is a *topological imbedding* if  $f' : X \xrightarrow{f} f(X)$  is a homeomorphism. If  $f : X \rightarrow Y$  is an imbedding, we say  $X$  *imbeds into*  $Y$  and write  $X \hookrightarrow Y$ .

(ix) Examples (and non-examples) of imbeddings.

(a) For  $n < m$ , the natural inclusion  $\mathbb{R}^n \hookrightarrow \mathbb{R}^m$  is an imbedding. More generally, an injective linear map  $T : \mathbb{R}^n \rightarrow \mathbb{R}^m$  is an imbedding.

(b) For  $n \geq 1$ , there is a natural embedding  $S^n \hookrightarrow S^{n+1}$  that maps  $S^n$  homeomorphically to the equator of  $S^{n+1}$ .

(c) The map  $f : [0, 1) \rightarrow S^1(\subset \mathbb{C})$  defined by  $f(s) = e^{i2\pi s}$  is injective and continuous, but  $f^{-1}$  is not continuous. Hence, this is not an imbedding.

(x) The composition of two continuous functions is continuous.

(xi) Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces, and  $f : X \rightarrow Y$  a map.

(a) If  $f$  is continuous, then for every  $A \subset X$ , the restriction  $f|_A : A \rightarrow Y$  is continuous.

(b) If  $f$  is continuous, then the map  $f' : X \xrightarrow{f} f(X)$  is continuous.

(c) If  $X = \cup_{\alpha} V_{\alpha}$ , where  $V_{\alpha} \in \mathcal{T}_x$  and  $f|_{V_{\alpha}}$  is continuous for each  $\alpha$ , then  $f$  is continuous.

- (xii) (Pasting Lemma) Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces, and let  $A, B$  be closed subsets of  $X$  such that  $X = A \cup B$ . Suppose that  $f : A \rightarrow Y$  and  $g : B \rightarrow Y$  are continuous maps such that  $f|_{A \cap B} = g|_{A \cap B}$ . Then  $f$  and  $g$  can be extended to a continuous map  $F : X \rightarrow Y$  with  $F|_A = f$  and  $F|_B = g$ .

## 1.8 Product and box topologies for arbitrary products

- (i) Let  $(X_\alpha, \mathcal{T}_\alpha)_{\alpha \in J}$  be an indexed family of topological spaces, and let  $X = \prod_{\alpha \in J} X_\alpha$ .

- (a) The collection

$$\mathcal{B}_{\text{box}} = \left\{ \prod_{\alpha \in J} U_\alpha \mid U_\alpha \in \mathcal{T}_\alpha \right\}$$

forms a basis for a topology on  $X$  called the *box topology*.

- (b) The collection  $\mathcal{S} = \cup_{\alpha \in J} \mathcal{S}_\alpha$ , where

$$\mathcal{S}_\alpha = \{ \pi_\alpha^{-1}(U_\alpha) \mid U_\alpha \in \mathcal{T}_\alpha \}$$

forms a subbasis for a topology on  $X$  called the *product topology*. Consequently, the collection

$$\mathcal{B} = \left\{ \prod_{\alpha \in J} U_\alpha \mid U_\alpha \in \mathcal{T}_\alpha \text{ and } U_\alpha = X_\alpha, \text{ for all but finitely many } \alpha \right\}$$

forms a basis for the product topology on  $X$ .

- (ii) The product topology is the preferred topology on an arbitrary product of spaces  $X = \prod_{\alpha \in J} X_\alpha$ , unless otherwise mentioned.
- (iii) On a finite product of topological spaces, the product and box topologies will coincide.
- (iv) Let  $(X_\alpha, \mathcal{T}_\alpha)_{\alpha \in J}$  be an indexed family of topological spaces, and let  $X = \prod_{\alpha \in J} X_\alpha$ . Suppose that  $\mathcal{B}_\alpha$  is a basis for  $\mathcal{T}_\alpha$ . Then

(a) The collection

$$\mathcal{B}_{box} = \left\{ \prod_{\alpha \in J} B_\alpha \mid B_\alpha \in \mathcal{B}_\alpha \right\}$$

forms a basis for a the box topology on  $X$ .

(b) The collection

$$\mathcal{B} = \left\{ \prod_{\alpha \in J} B_\alpha \mid B_\alpha \in \mathcal{B}_\alpha \text{ for finitely many indices and } B_\alpha = X_\alpha, \text{ otherwise} \right\}$$

forms a basis for the product topology on  $X$ .

(v) An arbitrary product of Hausdorff topological spaces is Hausdorff in both the product and box topologies.

(vi) Let  $\{X_\alpha\}_{\alpha \in J}$  be an indexed family of topological spaces, and let  $A_\alpha \subset X_\alpha$ . Then

$$\prod_{\alpha \in J} \bar{A}_\alpha = \overline{\prod_{\alpha \in J} A_\alpha}.$$

(vii) Let  $X = \prod_{\alpha} X_\alpha$  be given the product topology, where  $\{X_\alpha\}_{\alpha \in J}$  is an indexed family of topological spaces, and let  $Y$  be any topological space. Then a map  $f : Y \rightarrow X$  is continuous if, and only if each  $f_\alpha = \pi_\alpha \circ f$  is continuous.

(viii) Assertion (vii) above does not hold if  $X$  is given the box topology. As a counterexample, consider  $\mathbb{R}^\infty$ , the product of countably many copies of  $\mathbb{R}$ , with the box topology, and the map

$$f : \mathbb{R} \rightarrow \mathbb{R}^\infty : t \mapsto (t, t, \dots), \forall t \in \mathbb{R}.$$

Clearly for each  $i$ ,  $f_i = \pi_i \circ f = i_{\mathbb{R}}$ , and hence  $f_i$  is continuous. But  $f$  is not continuous, as the inverse (under  $f$ ) of the basic open set  $\prod_{i=1}^{\infty} (-\frac{1}{i}, \frac{1}{i})$  is not open in  $\mathbb{R}$ .

## 1.9 Metric topology

(i) A *metric* on a set  $X$  is a function  $d : X \times X \rightarrow \mathbb{R}$  satisfying the following properties:

(a)  $d(x, x) \geq 0$ , for all  $x, y, \in X$ , and  $d(x, y) = 0 \iff x = y$ .

(b) (Symmetry)  $d(x, y) = d(y, x)$ , for all  $x, y \in X$ .

(c) (Triangle inequality)  $d(x, z) \leq d(x, y) + d(y, z)$ , for all  $x, y, z \in X$ .

If  $d$  defines a metric on a set  $X$ , then the pair  $(X, d)$  is called a *metric space*.

(ii) Let  $(X, d)$  be a metric space. For some  $r > 0$ , the set

$$B_d(x, r) = \{y \in X \mid d(x, y) < r\}$$

is called *open ball centered at  $x$  and radius  $r$* .

(iii) Let  $(X, d)$  be a metric space. Then the collection

$$\mathcal{B}_d = \{B_d(x, r) \mid x \in X \text{ and } r \in (0, \infty)\}$$

forms a basis for a topology  $\mathcal{T}_d$  on  $X$  called the *metric topology induced by  $d$*  (or the *topology induced by the metric  $d$* ).

(iv) A topological space  $(X, \mathcal{T})$  is said to be *metrizable* if there exists a metric  $d$  on  $X$  such that  $\mathcal{T} = \mathcal{T}_d$ .

(v) Example of metrics.

(a) On any set  $X$ , for a fixed  $r > 0$ , the function  $b_r : X \times X \rightarrow \mathbb{R}$  defined by

$$b_r(x, y) = \begin{cases} r, & \text{if } x \neq y, \text{ and} \\ 0, & \text{if } x = y. \end{cases}$$

defines a metric on  $X$  called the *bounded metric*. Note that for any  $x \in X$ ,

$$B_{b_r}(x, k) = \begin{cases} X, & \text{if } k \geq r, \text{ and} \\ \{x\}, & \text{if } k < r. \end{cases}$$

Consequently,  $\mathcal{T}_{b_r} = \mathcal{P}(X)$ .

(b) The *standard euclidean metric*  $d$  on  $\mathbb{R}^n$  is defined by

$$d(x, y) = \|x - y\|_2 = \left( \sum_{i=1}^n (x_i - y_i)^2 \right)^{1/2},$$

for any two vectors  $x, y \in \mathbb{R}^n$  with components  $x_i$  and  $y_i$ , respectively.

(c) The *square metric*  $\rho$  on  $\mathbb{R}^n$  is defined by

$$\rho(x, y) = \|x - y\|_\infty = \max_{1 \leq i \leq n} |x_i - y_i|,$$

for any two vectors  $x, y \in \mathbb{R}^n$  with components  $x_i$  and  $y_i$ , respectively.

(d) For any metric space  $(X, d)$ , and some  $r > 0$ , the map  $\bar{d}_r : X \times X \rightarrow \mathbb{R}$  defined by

$$\bar{d}_r(x, y) = \min(d(x, y), r)$$

also defines a metric on  $X$  such that  $\mathcal{T}_d = \mathcal{T}_{\bar{d}_r}$ .

(vi) Let  $d$  and  $d'$  be two metrics defined on  $X$ . Then  $\mathcal{T}_d \subset \mathcal{T}_{d'}$  if, and only if for each  $x \in X$  and each  $r > 0$ , there exists  $r' > 0$  such that  $B_{d'}(x, r') \subset B_d(x, r)$ .

(vii) The square metric and the standard euclidean metric both induce the standard (product) topology on  $\mathbb{R}^n$ .

(viii) Given an index set  $J$ , and points  $x = (x_\alpha)$  and  $y = (y_\alpha)$  in  $\mathbb{R}^J$ , the map

$$\bar{\rho}(x, y) = \sup\{\bar{d}_1(x_\alpha, y_\alpha) = \min(|x_\alpha - y_\alpha|, 1) \mid \alpha \in J\}$$

defines a metric on  $\mathbb{R}^J$  called the *uniform metric*.

(ix) The uniform metric is finer than the product topology on  $\mathbb{R}^J$ , but coarser than the box topology on  $\mathbb{R}^J$ . These topologies are different when  $J$  is infinite.

(x) For any two points  $x = (x_i)$  and  $y = (y_i)$  in  $\mathbb{R}^\infty$ , the function

$$D(x, y) = \sup \left\{ \frac{\bar{d}_1(x, y)}{i} \mid i \in \mathbb{N} \right\}$$

defines a metric on  $\mathbb{R}^\infty$  that induces the product topology on  $\mathbb{R}^\infty$ .

(xi) (Sequence Lemma) Let  $X$  be a topological space, and let  $A \subset X$ . If  $(x_n)$  be a sequence of points in  $A$  such that  $x_n \rightarrow x$ , then  $x \in \bar{A}$ . The converse holds if  $X$  is metrizable.

- (xii) Let  $X$  and  $Y$  be topological spaces, and let  $f : X \rightarrow Y$  be a map. If  $f$  is continuous, then for every sequence  $(x_n)$  of points in  $X$  such that  $x_n \rightarrow x$ , we have that  $f(x_n) \rightarrow f(x)$ . The converse holds if  $X$  is metrizable.
- (xiii) Let  $X$  be a topological space, and let  $f, g : X \rightarrow \mathbb{R}$  be continuous maps. Then  $f \pm g$ ,  $fg$ , and  $f/g$  (defined on all points such that  $g(x) \neq 0$ ) are continuous maps.
- (xiv) Let  $f_n : X \rightarrow Y$  be a sequence of functions from a set  $X$  to a metric space  $(Y, d)$ . Then  $(f_n)$  converges to  $f : X \rightarrow Y$  uniformly if given  $\epsilon > 0$ , there exists  $N \in \mathbb{N}$  such that for all  $x \in X$ ,  $d(f_n(x), f(x)) < \epsilon$ , whenever  $n > N$ .
- (xv) (Uniform limit theorem) Let  $f_n : X \rightarrow Y$  be a sequence of functions from a topological space  $X$  to a metric space  $(Y, d)$ . If  $f_n \rightarrow f$  uniformly, then  $f$  is continuous.
- (xvi)  $\mathbb{R}^\infty$  with the box topology is not metrizable. Consider its subset

$$A = \{(x_1, x_2, \dots) \mid x_i > 0, \forall i \in \mathbb{N}\}.$$

Note that  $0 \in \bar{A}$ , but there is no sequence of points in  $A$  covering to 0. Hence,  $\mathbb{R}^\infty$  violates the sequence lemma, which makes it non-metrizable.

## 1.10 Quotient topology

- (i) Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces, and  $p : X \rightarrow Y$  be a surjective map. Then  $p$  is said to be a *quotient map* provided that

$$U \in \mathcal{T}_Y \iff p^{-1}(U) \in \mathcal{T}_X.$$

- (ii) Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces, and  $p : X \rightarrow Y$  be a surjective map. Then a subset  $C \subset X$  is said to be *saturated with respect to  $p$* , if  $C = p^{-1}(D)$ , for some  $D \subset Y$ .
- (iii) Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces, and  $p : X \rightarrow Y$  be a surjective map. Then  $p$  is a quotient map, if and only if, it maps saturated open sets in  $X$  to open sets in  $Y$ .

- (iv) Any surjective, continuous map  $p : X \rightarrow Y$  that is either an open or a closed map is a quotient map.
- (v) Examples of quotient maps.

- (a) A homeomorphism  $h : X \rightarrow Y$  is a quotient map.
- (b) Let  $X_i$ , for  $1 \leq i \leq n$ , be topological spaces. Then the projection map

$$\pi_i : \prod_{j=1}^n X_j \rightarrow X_i$$

is a surjective, continuous, and open map, and hence a quotient map.

- (c) In general, a projection map is not a closed map, for example,  $\pi_1 : \mathbb{R}^2 \rightarrow \mathbb{R}$  is not a closed map. To see this, consider the set  $A = \{(x, y) \in \mathbb{R}^2 \mid xy = 1\}$ , which is closed in  $\mathbb{R}^2$  (by Problem 3, Homework 2), but  $f(A) = (\infty, 0) \cup (0, \infty)$ , which is open in  $\mathbb{R}^2$ .
- (d) The map  $f : [0, 1] \cup [2, 3] \rightarrow \mathbb{R}$  defined by

$$f(x) = \begin{cases} x, & \text{if } x \in [0, 1], \text{ and} \\ x - 1, & \text{if } x \in [2, 3]. \end{cases}$$

is a surjective, continuous, and closed map. But  $f$  is not an open map, as  $f([0, 1]) = [0, 1]$ , which is not open in  $\mathbb{R}$ .

- (vi) Let  $(X, \mathcal{T})$  be a topological space,  $A$  a set, and  $p : X \rightarrow A$  be a surjective map. Then there is a unique topology  $\mathcal{T}_A$  on  $A$  that makes  $p$  a quotient map, which is defined by

$$U \in \mathcal{T}_A \iff p^{-1}(U) \in \mathcal{T}.$$

The topology  $\mathcal{T}_A$  on  $A$  is called the *quotient topology induced by  $p$* .

- (vii) Let  $X$  be a topological space, and let  $\sim$  be an equivalence relation (or equivalently, we can consider a partition) on  $X$ . Let  $X/\sim$  denote the set of all equivalence classes of  $X$  under  $\sim$ , and consider the natural surjective map

$$p : X \rightarrow X/\sim : x \mapsto [x].$$

Then the quotient topology on  $X/\sim$  induced by  $p$  is called the *quotient space of  $X$  under  $\sim$* , and we also denote this space by  $X/\sim$ .



(viii) Examples of quotient spaces.

(a) Let  $p : \mathbb{R} \rightarrow X = \{a, b, c\}$  be defined by

$$p(x) = \begin{cases} a, & \text{if } x > 0, \\ b, & \text{if } x < 0, \text{ and} \\ c, & \text{if } x = 0. \end{cases}$$

Then the quotient topology  $\mathcal{T}_X$  on  $X$  induced by  $p$  is

$$\mathcal{T}_X = \{\emptyset, \{a\}, \{b\}, \{a, b\}, X\}$$

(b) Consider the equivalence relation  $\sim$  on  $\mathbb{R}$  defined by  $x \sim y \iff x - y \in \mathbb{Z}$ . Note that under  $\sim$ , each equivalence class is represented by a real number  $[0, 1]$ , but no pair of points, except  $\{0, 1\}$  are related in  $[0, 1]$ . Hence, the quotient space on  $\mathbb{R}/\sim$  induced by  $p : \mathbb{R} \rightarrow \mathbb{R}/\sim$  is homeomorphic to  $[0, 1]/0 \sim 1 \approx S^1$ .

(c) A natural extension of (a) is the equivalence relation  $\sim$  on  $\mathbb{R}^2$  defined by

$$(a, b) \sim (c, d) \iff (c - a, d - b) \in \mathbb{Z}^2.$$

Extending the argument in (a), we can see that

$$\mathbb{R}^2/\sim \approx S^1 \times S^1.$$

Note that the equivalence classes under this relation are precisely the orbits of the action of the group  $\mathbb{Z}^2$  on the group  $\mathbb{R}^2$  defined by

$$(m, n) \cdot (x, y) = (x + m, y + n).$$

Consequently, the quotient space  $\mathbb{R}^2/\sim$  is often written as  $\mathbb{R}^2/\mathbb{Z}^2$ .

(d) For  $n \geq 1$ , the equivalence relation  $\sim$  on the open unit  $n$ -ball  $D^n = B_d(0, 1)$  in  $\mathbb{R}^n$  defined by

$$x \sim y \iff \|x\| = \|y\| = 1$$

collapses  $\partial D^n = S^{n-1}$  to a single point, and the quotient space thus obtained  $\approx S^n$ .

(ix) Let  $p : X \rightarrow Y$  be a quotient map, and let  $A \subset X$  be saturated with respect to  $p$ ; let  $q = p|_A$ .

(a) If  $A$  is either an open or a closed set, then  $q$  is a quotient map.

(b) If  $p$  is either an open or a closed map, then  $q$  is a quotient map.

(x) Let  $p$  be a quotient map. Let  $Z$  be a space, and let  $g : X \rightarrow Z$  be a map that is constant on each fiber  $p^{-1}(\{y\})$ , for  $y \in Y$ . Then  $g$  induces a map  $f : Y \rightarrow Z$  such that  $f \circ p = g$ . Moreover,  $f$  is a continuous if and only if  $g$  is continuous, and  $f$  is a quotient map if and only if  $g$  is a quotient map.

(xi) Let  $g : X \rightarrow Z$  be a surjective continuous map. Consider the equivalence relation  $\sim$  on  $X$  defined by

$$x \sim y \iff g(x) = g(y),$$

and let  $X^* = X / \sim$ .

(a) Then  $g$  induces a bijective continuous map  $f : X^* \rightarrow Z$ , which is a homeomorphism if and only if  $g$  is a quotient map.

(b) If  $Z$  is Hausdorff, then so is  $X^*$ .

(xii) Some counterexamples.

(a) Consider the subspaces

$$X = \bigcup_{n=1}^{\infty} [0, 1] \times \{n\}$$

and

$$Y = \{(x, x/n) \mid x \in [0, 1] \text{ and } n \in \mathbb{N}\}$$

of  $\mathbb{R}^2$ . The map

$$g : X \rightarrow Y : (x, n) \mapsto (x, x/n)$$

is surjective and continuous. Under the equivalence relation  $\sim$  on  $X$  induced by  $g$  (as in assertion (xi) above), the quotient space  $X^* = X / \sim$  is obtained by simply collapsing  $\{0\} \times \mathbb{N} \subset X$  to

a point. Consequently, by Assertion (xi),  $g$  induces a bijective continuous map  $f : X^* \rightarrow Z$ . As the image of the closed set

$$A = \{(1/n, n) \mid n \in \mathbb{N}\}$$

under  $f$  is not closed,  $f$  is not a homeomorphism.

- (b) The product of two quotient maps need not be a quotient map. Consider  $X = \mathbb{R}_K$  and the quotient space on  $Y$  obtained from  $X$  by collapsing the subset  $K$  to a point. Let  $p : \mathbb{R}_K \rightarrow Y$  be the induced quotient map. Since  $(p \times p)^{-1}(\Delta_Y)$  ( $\Delta_Y$  denotes the diagonal of  $Y$ ) is not closed in  $\mathbb{R}_K^2$ ,  $p \times p$  is not a quotient map.

## 1.11 Connected and path connected spaces

- (i) Let  $X$  be a topological space. A *separation* for  $X$  is a pair  $U, V$  of disjoint open sets in  $X$  such that  $X = U \sqcup V$ .
- (ii) A space  $X$  is said to be *connected* if there exists no separation for  $X$ .
- (iii) A space  $X$  is connected if, and only if, the only subsets of  $X$  that are both open and closed are  $X$  and  $\emptyset$ .
- (iv) A subspace  $Y$  of a topological space  $X$  is connected if, and only if, there exists no pair of disjoint subsets  $A, B \subset Y$  such that

$$A' \cap B = A \cap B' = \emptyset.$$

- (v) Let  $C, D$  form a separation for a space  $X$ , and  $Y \subset X$  is a connected subset. Then either  $Y \subset C$  or  $Y \subset D$ .
- (vi) Let  $\{Y_\alpha\}_{\alpha \in J}$  be an arbitrary collection of connected subspaces of a topological space  $X$  such that  $\bigcap_{\alpha \in J} Y_\alpha \neq \emptyset$ . Then  $\bigcup_{\alpha \in J} Y_\alpha$  is connected.
- (vii) Let  $A$  be a connected subspace of a topological space  $X$ . If  $A \subset B \subset \bar{A}$ , then  $B$  is connected. Equivalently, if a  $A \subset X$  is connected, then for any subset  $S \subset A'$ , the set  $A \cup S$  is also connected. Consequently, if  $A \subset X$  is connected, then  $\bar{A}$  is also connected.
- (viii) Examples of connected and disconnected spaces.

- (a) Any space  $X$  with the indiscrete topology  $\{\emptyset, X\}$  is connected.
  - (b) Any space  $X$  with  $|X| > 2$  with the discrete topology is not connected.
  - (c) An open interval  $(a, b) \subset \mathbb{R}$  is connected, as separation for  $(a, b)$  would contradict the fact that it is an open interval (why?). It now follows from assertion (vii) and (viii) that all intervals and open rays of  $\mathbb{R}$  (i.e subsets of the form  $(-\infty, b)$  and  $(a, \infty)$ ) are also connected.
  - (d) The subspace  $[-1, 0) \sqcup (0, 1] \subset \mathbb{R}$  is not connected.
  - (e) The subspace  $\mathbb{Q} \subset \mathbb{R}$  is not connected.
- (ix) The continuous image of a connected space is connected.
  - (x) A finite cartesian product connected spaces is connected.
  - (xi) Examples in product spaces.
    - (a) The  $n$ -dimensional euclidean space  $\mathbb{R}^n$  is connected.
    - (b) The space  $X = \mathbb{R}^\infty$  in the box topology is not connected. This is because the subset  $A$  of bounded sequences in  $X$  and the subset  $B = X \setminus A$  of unbounded sequences in  $X$  form a separation for  $X$ .
    - (c) The space  $X = \mathbb{R}^\infty$  in the product topology is connected. For each  $n \in \mathbb{N}$ , consider the subset

$$Y_n = \{(x_1, x_2, \dots) \in X \mid x_i = 0, \text{ for } i > n\}$$

of  $X$ . Since  $Y_n \approx \mathbb{R}^n$ ,  $Y_n$  is connected. Furthermore, since

$$\bigcup_{n=1}^{\infty} Y_n = \mathbb{R}_0^\infty \subset X,$$

and  $\bar{\mathbb{R}}_0^\infty = X$ , it follows from assertion (viii) above that  $X$  is connected.

- (xii) (Intermediate value theorem) Let  $X$  be a connected space, and  $f : X \rightarrow \mathbb{R}$  be a continuous map. If  $a$  and  $b$  are distinct points in  $X$ , and  $r$  is a point lying between  $f(a)$  and  $f(b)$ , then there exists a  $c \in X$  such that  $f(c) = r$ .

- (xiii) Let  $X$  be a topological space. A *path in  $X$  from a point  $x$  to a point  $y$*  is a continuous map  $f : [0, 1] \rightarrow \mathbb{R}$  such that  $f(0) = x$  and  $f(1) = y$ .
- (xiv) A space  $X$  is *path connected* if for every pair of distinct point  $x, y \in X$  there exists a path in  $X$  from  $x$  to  $y$ .
- (xv) A path-connected space is connected.
- (xvi) The continuous image of a path connected space is path connected.
- (xvii) Examples (or non-examples) of path-connected spaces.
  - (a) The open unit ball  $B_d(0, 1) \subset \mathbb{R}^n$  is path connected. This is because for an two distinct points (or vectors)  $x, y \in B_d(0, 1)$ , the continuous map

$$f : [0, 1] \rightarrow B_d(0, 1) : t \mapsto (1 - t)x + ty$$

defines a straight line in  $B_d(0, 1)$  (or a path) connecting  $x$  to  $y$ . Since  $B_d(0, 1) \approx \mathbb{R}^n$ ,  $\mathbb{R}^n$  is also path-connected.

- (b) Let  $Y \subset \mathbb{R}^n$  be a countable subset. Then  $\mathbb{R}^n \setminus Y$  is path-connected (and hence connected).
- (c) For  $n \geq 1$ , the unit sphere  $S^n \subset \mathbb{R}^{n+1}$  is path connected, and the map

$$\mathbb{R}^{n+1} \setminus \{0\} \rightarrow S^n : x \mapsto x/\|x\|$$

is continuous.

- (d) A connected topological spaces need not be path connected. Consider the subset

$$S = \{(x, \sin(1/x)) \mid x \in (0, 1]\} \subset \mathbb{R}^2.$$

Then the set  $\bar{S}$ , called the *topologist's sine curve*, is a connected subspace of  $\mathbb{R}^2$  that is not path connected. This is because  $\{(0, 0)\} \times [-1, 1] \subset \bar{S}$ , but (as discussed in class) there is no path in  $\bar{S}$  connecting the origin  $(0, 0) \in \bar{S}$  to any point  $(x, y) \in S(\subset \bar{S})$ . The connectedness of  $\bar{S}$  follows from (viii) above, and the fact that  $S$  is connected (being the continuous image of a connected space).

(xviii) Let  $X$  be a topological space. Consider the relation  $\sim$  on  $X$  defined by

$$x \sim y \iff \exists \text{ a connected subset } C \ni x, y.$$

Then  $\sim$  defines an equivalence relation on  $X$ , and the equivalence classes thus obtained are called the *components* of  $X$ .

(xix) Let  $X$  be a topological space. Consider the relation  $\sim$  on  $X$  defined by

$$x \sim y \iff \exists \text{ a path in } X \text{ from } x \text{ to } y.$$

Then  $\sim$  defines an equivalence relation on  $X$ , and the equivalence classes thus obtained are called the *path components* of  $X$ .

(xx) The components (or path components) of  $X$  are connected (or path connected) disjoint subspaces of  $X$  whose union equals  $X$ . Moreover, each nonempty connected (or path connected) subspace of  $X$  lies in one of them.

(xxi) Examples of components in spaces.

(a) The connected (and path) components of  $\mathbb{Q} \subset \mathbb{R}$  are the singletons. This is also true for the discrete topology on any space  $X$  with  $|X| \geq 2$ .

(b) The topologist's sine curve  $\bar{S}$  has a single connected component (as it's connected), but has exactly two path components, namely

$$\bar{S} = S \cup (\{0\} \times [-1, 1]), \text{ where } \{0\} \times [-1, 1] = S' \setminus S.$$

(xxii) A space  $X$  is said to be *locally connected* (or *locally path connected*) if for each  $x \in X$ , and each neighborhood  $U$  of  $x$ , there exists a connected (or path connected) neighborhood  $C$  of  $x$  such that  $C \subset U$ .

(xxiii) A locally path connected space is also locally connected. However, the connectedness (or the path connectedness) of a space does not necessarily imply its local connectedness (or local path connectedness), and vice versa.

(xxiv) Examples of local connectedness (or local path connectedness).

(a) The subset  $[-1, 0] \sqcup (0, 1] \subset \mathbb{R}$  is locally connected, but not connected.

- (b) The topologist's sine curve is connected, but not locally connected.
  - (c) The rationals are neither connected or locally connected.
- (xxv) A space  $X$  is locally connected (or locally path connected) if, and only if, for every open set  $U$  of  $X$ , each component (or path component) of  $U$  is open in  $X$ .
- (xxvi) Each path component of a topological space  $X$  is a subset of a component of  $X$ . Moreover, if  $X$  is locally path connected, then its path components and connected components coincide.

## 1.12 Compactness

- (i) An *open cover* for a topological space  $X$  is a collection of sets  $\{V_\alpha\}_{\alpha \in J}$  in  $X$  such that

$$X = \bigcup_{\alpha \in J} V_\alpha.$$

An *open cover for a subspace*  $A \subset X$  is a collection  $\{U_\beta\}_{\beta \in J'}$  of open sets in  $X$  such that

$$A \subset \bigcup_{\beta \in J'} U_\beta.$$

- (ii) A *finite subcover* of an open cover  $\mathcal{V} = \{V_\alpha\}_{\alpha \in J}$  for a topological space  $X$  is a subcollection  $\{V_{\alpha_1}, \dots, V_{\alpha_n}\} \subset \mathcal{V}$  that covers  $X$ .
- (iii) A space  $X$  is said to be *compact* if every open cover for  $X$  has a finite subcover.
- (iv) Examples of compact (and noncompact) spaces.
  - (a) Finite topological spaces are compact.
  - (b) For  $n \geq 1$ , the space  $X = \mathbb{R}^n$  is not compact. This is because the collection of open balls  $\{B_d(0, i) \mid i \in \mathbb{N}\}$  is an open cover for  $X$  that has no finite subcover.
  - (c) The subset  $A = \{\frac{1}{n}\}_{n \in \mathbb{N}} \cup \{0\} \subset \mathbb{R}$  is a compact subspace. This is because 0 is a limit point of the sequence  $(1/n)$ , and so every neighborhood of 0 contains all but finitely terms of the sequence.
- (v) A continuous image of a compact space is compact.

- (vi) A closed subspace of a compact space is compact.
- (vii) A compact subspace of a Hausdorff space is closed.
- (viii) Let  $X$  be a compact space, and  $Y$  be a Hausdorff space. Then a bijective continuous map  $f : X \rightarrow Y$  is a homeomorphism.
- (ix) (Tube Lemma) Let  $X \times Y$  be the product of a topological space  $X$  with a compact topological space  $Y$ . If  $N$  is a neighbourhood that contains the slice  $\{x\} \times Y$ , for some  $x \in X$ , then there exists a neighborhood  $U$  of  $x$  in  $X$  such that  $N$  contains the tube  $U \times Y$  about  $\{x\} \times Y$ .
- (x) A collection  $\mathcal{C}$  of subsets of  $X$  is said to possess the *finite intersection property* if every finite subcollection  $\{C_1, \dots, C_n\} \subset \mathcal{C}$  satisfies

$$\bigcap_{i=1}^n C_i \neq \emptyset.$$

- (xi) A space  $X$  is compact if, and only if, for every collection  $\mathcal{C}$  of closed subsets of  $X$  satisfying the finite intersection property, we have

$$\bigcap_{C \in \mathcal{C}} C \neq \emptyset.$$

- (xii) Let  $X$  be a set. Then there exists a collection  $\mathcal{D}$  of subsets of  $X$  that is maximal with respect to the finite intersection property.
- (xiii) Let  $X$  be a set, and let  $\mathcal{D}$  be a collection of subsets of  $X$  that is maximal with respect to the finite intersection property. Then:
  - (a)  $\mathcal{D}$  is closed under finite intersections, and
  - (b) if a subset  $A$  of  $X$  intersects every elements  $D \in \mathcal{D}$ , then  $A \in \mathcal{D}$ .
- (xiv) (Tychonoff theorem) An arbitrary product of compact spaces is compact.
- (xv) Every closed interval in  $\mathbb{R}$  is compact. Consequently, closed cubes  $\prod_{i=1}^n [a_i, b_i]$  and closed balls  $\overline{B}_d(x, r)$  are compact subsets of  $\mathbb{R}^n$ .
- (xvi) A subset  $A$  of  $\mathbb{R}^n$  is compact if, and only if it is closed and bounded under the standard euclidean metric  $d$ .



- (xvii) (Extreme value theorem) Let  $X$  be a compact space. If  $f : X \rightarrow \mathbb{R}$  is a continuous map, then there exists points  $c, d \in X$  such that

$$f(c) \leq f(x) \leq f(d),$$

for all  $x \in X$ .

- (xviii) (Lebesgue number lemma) Let  $\mathcal{V}$  be an open cover of a compact metric space  $(X, d)$ . Then there exists  $\delta > 0$  such that for every subset  $A \subset X$  with  $\text{diam}(A) < \delta$ , there exists  $V \in \mathcal{V}$  such that  $A \subset V$ .
- (xix) (Uniform continuity theorem) Let  $(X, d_X)$  and  $(Y, d_Y)$  be metric spaces, and  $f : X \rightarrow Y$  be continuous. If  $X$  is compact, then  $f$  is uniformly continuous.
- (xx) A point  $x$  of a topological space  $X$  is said to be *isolated* if  $\{x\}$  is an open set of  $X$ .
- (xxi) Let  $X$  be a nonempty compact Hausdorff space. If  $X$  has no isolated points, then  $X$  is uncountable. Consequently, every closed interval in  $\mathbb{R}$  is uncountable, and so  $\mathbb{R}$  is uncountable.
- (xxii) A space  $X$  is said to be *limit point compact* if every infinite subset of  $X$  has a limit point.
- (xxiii) A compact space is limit point compact, but the converse does not hold true.
- (xxiv) Example: Give a space  $Y$  the indiscrete topology. The space  $X = \mathbb{N} \times Y$  is limit point compact, as every subset of  $X$  has a limit point. But  $X$  is not compact, as  $\{\{n\} \times Y\}_{n \in \mathbb{N}}$  is open cover for  $X$ , which has no finite subcover.
- (xxv) A space  $X$  is said to be *sequentially compact* if every sequence in  $X$  has a convergent subsequence.
- (xxvi) Let  $X$  be a metrizable space. Then the following statements are equivalent.
- (a)  $X$  is compact.
  - (b)  $X$  is limit point compact.

- (c)  $X$  is sequentially compact.
- (xxvii) A space  $X$  is said to be *locally compact* if every point  $x \in X$  has a neighborhood  $U$  such that  $\bar{U}$  is compact.
- (xxviii) Examples of locally compact spaces.
- (a) The space  $\mathbb{R}^n$  is locally compact.
- (b) The space  $X = \mathbb{R}^\infty$  in the product topology is not locally compact. This is because the closure of every basic open set of  $X$  is of the form  $\prod_{i=1}^\infty C_i$ , where
- $$C_i = \begin{cases} [a_i, b_i], & \text{for } i = n_1, \dots, n_k, \\ \mathbb{R}, & \text{otherwise.} \end{cases}$$
- (xxix) An open or a closed subset of a locally compact Hausdorff space is locally compact.
- (xxx) A space  $Y$  is said to be the *one point compactification* of a space  $X$  if
- (a)  $Y$  is a compact Hausdorff space,
- (b)  $X \subset Y$  with  $|Y \setminus X| = 1$ , and
- (c)  $Y = \bar{X}$ .
- (xxxix) A space  $X$  is a locally compact Hausdorff if, and only if  $X$  has a one point compactification  $Y$ .
- (xxxix) Example: For  $n \geq 1$ , the one-point compactification of  $\mathbb{R}^n$  is  $S^n$ .

### 1.13 Countability axioms

- (i) A topological space  $X$  is said to have a *countable local basis at a point*  $x \in X$ , if there exists a collection  $\{U_n\}_{n \in \mathbb{Z}^+}$  of neighborhoods of  $x$  such that any other neighborhood contains at least one of the neighborhoods  $U_n$ .
- (ii) A topological space  $X$  is said to be *first countable* if it has a countable local basis at each point  $x \in X$ .

- (iii) Example: Any metric space  $(X, d)$  is first countable, as every point  $x \in X$  has a countable local basis given by  $\{B_d(x, \frac{1}{n})\}_{n \in \mathbb{Z}^+}$ .
- (iv) (Sequence Lemma) Let  $X$  be a topological space, and let  $A \subset X$ . If  $(x_n)$  be a sequence of points in  $A$  such that  $x_n \rightarrow x$ , then  $x \in \bar{A}$ . The converse holds if  $X$  is first countable.
- (v) Let  $X$  and  $Y$  be topological spaces, and let  $f : X \rightarrow Y$  be a map. If  $f$  is continuous, then for every sequence  $(x_n)$  of points in  $X$  such that  $x_n \rightarrow x$ , we have that  $f(x_n) \rightarrow f(x)$ . The converse holds if  $X$  is first countable.
- (vi) A topological space  $X$  is said to be *second countable* if it has a countable basis.
- (vii) A second countable space is also first countable.
- (viii) Examples of first and second countable spaces.
  - (a) The space  $X = \mathbb{R}^n$  is second countable, as the countable collection of open cubes

$$\mathcal{B} = \left\{ \prod_{i=1}^n (a_i, b_i) \mid a_i, b_i \in \mathbb{Q} \text{ and } a_i < b_i \right\}$$

forms a basis for  $X$ .

- (b) The space  $X = \mathbb{R}^\infty$  is second countable, as the collection

$$\mathcal{B} = \left\{ \prod_{i=1}^{\infty} U_i \right\}, \text{ where}$$

$$U_i = \begin{cases} (a_i, b_i), & \text{with } a_i, b_i \in \mathbb{Q}, \text{ for finitely many } i, \text{ and} \\ \mathbb{R}, & \text{otherwise,} \end{cases}$$

forms a countable basis for  $X$ .

- (c) The space  $X = \mathbb{R}^\infty$  with the uniform topology satisfies is first countable, as it is metrizable. However,  $X$  is not second countable. This is because the second countability of  $X$  would imply that any

discrete subspace  $A$  of  $X$  is countable. But this is clearly untrue, as

$$A = \prod_{i=1}^{\infty} \{0, 1\}$$

is an uncountable discrete subspace of  $X$ .

(d) The space  $X = \mathbb{R}_\ell$  is first countable, as the collection

$$\mathcal{B}_x = \{[x, x + \frac{1}{n}) \mid n \in \mathbb{N}\}$$

for a countable local basis at each point  $x \in X$ . But  $X$  is not second countable for the following reason. Suppose we assume on the contrary that  $X$  has a countable basis  $\mathcal{B}$ . Then for each  $x \in X$ , there exists  $B_x \in \mathcal{B}$  such that  $x \in B_x \subseteq [x, x + 1)$ . But this would imply that  $\mathcal{B}$  is uncountable, which contradicts our hypothesis.

- (ix) Subspaces and countable products of first (or second countable) spaces is first (or second countable).
- (x) A space  $X$  is said to be *separable* if it has countable dense subset.
- (xi) Example:  $\mathbb{R}^n$  is separable, as it has a countable and dense subset  $\mathbb{Q}^n$ .
- (xii) A space  $X$  is said to be *Lindelöf* if every open cover for  $X$  has a countable subcover.
- (xiii) A second countable space is both separable and Lindelöf.
- (xiv) A product of Lindelöf spaces need not be Lindelöf. For example,  $\mathbb{R}_\ell$  is Lindelöf, but  $Y = \mathbb{R}_\ell^2$  is not, for consider the subset

$$L = \{(x, -x) \mid x \in \mathbb{R}_\ell\}.$$

Since  $L$  is closed in  $\mathbb{R}_\ell$ , the collection

$$\{Y \setminus L\} \cup \{[a, b) \times [-a, d) \mid a, b, c, d \in \mathbb{R}_\ell\}$$

forms an open cover for  $Y$ . If  $Y$  has countable subcover, then  $\mathbb{R}_\ell$  should be countable, which is impossible.

## 1.14 Separation axioms

- (i) Let  $(X, \mathcal{T})$  be a topological space, and  $\{A, B\}$  be a pair of disjoint sets in  $X$ . The  $\{A, B\}$  can be *separated by open sets* if there exists open sets  $U, V \in \mathcal{T}$  with  $U \cap V = \emptyset$  such that  $A \subset U$  and  $B \subset V$ .
- (ii) Let  $X$  be a  $T_1$  space. Then:
  - (a)  $X$  is said to be *regular* if each pair of subsets of  $X$  of the form  $\{\{x\}, B\}$ , where  $B$  is closed and  $x \in B \setminus X$ , can be separated by open sets.
  - (b)  $X$  is said to be *normal* if each pair of subsets of  $X$  of the form  $\{A, B\}$ , where  $A$  and  $B$  are disjoint closed sets, can be separated by open sets.
- (iii) Let  $X$  be a  $T_1$  space. Then:
  - (a)  $X$  is regular if, and only if, for each point  $x \in X$  and each neighborhood  $U \ni x$ , there exists a neighborhood  $V \ni x$  such that  $\bar{V} \subset U$ .
  - (b)  $X$  is normal if, and only if, for each closed subset  $A$  of  $X$  and each neighborhood  $U \supset A$ , there exists a neighborhood  $V \supset A$  such that  $\bar{V} \subset U$ .
- (iv) Subspaces and products of Hausdorff (or regular) spaces are Hausdorff (or regular).
- (v) Examples.
  - (a) The space  $X = \mathbb{R}_K$  is a Hausdorff space, as it is finer than  $\mathbb{R}$ . However,  $X$  is not regular, since the pair of subsets  $\{\{0\}, K\}$  can never be separated by open sets.
  - (b) The space  $X = \mathbb{R}_\ell$  is Hausdorff (and hence  $T_1$ ), as it's finer than  $\mathbb{R}$ . Furthermore,  $X$  is normal, for consider any pair  $\{A, B\}$  of disjoint closed subsets of  $X$ . Then for each  $a \in A$ , there exists a basic open set  $[a, x_a) \subset X \setminus B$ , and for each  $b \in B$ , there exists a basic open set  $[a, x_b) \subset X \setminus A$ . Then the open sets

$$U = \bigcup_{a \in A} [a, x_a) \text{ and } V = \bigcup_{b \in B} [b, x_b)$$

separate  $\{A, B\}$ .

- (vi) A regular second countable space is normal.
- (vii) A metrizable space is normal.
- (viii) A compact Hausdorff space is normal.
- (ix) An arbitrary product of normal spaces is not necessarily normal. For example,  $\mathbb{R}$  is normal, but  $\mathbb{R}^J$  is not normal in the product topology.
- (x) (Tietze Extension Theorem) Let  $X$  be a normal space, and  $A$  be a closed subset of  $X$ . Then:
  - (a) Any continuous map  $A \rightarrow [a, b] \subset \mathbb{R}$  can be extended to a continuous map  $X \rightarrow [a, b]$ .
  - (b) Any continuous map  $A \rightarrow \mathbb{R}$  can be extended to a continuous map  $X \rightarrow \mathbb{R}$ .
- (xi) (Urysohn Lemma) Let  $X$  be a normal space, and  $A, B$  be disjoint closed subsets of  $X$ . Then there exists a continuous map

$$f : X \rightarrow [a, b] \subset \mathbb{R}$$

such that  $f(A) = \{a\}$  and  $f(B) = \{b\}$ .

- (xii) Every regular space second countable space can be imbedded in the space  $\mathbb{R}^\infty$  with the product topology.
- (xiii) (Urysohn Metrization Theorem) Every regular second countable space is metrizable.

## 1.15 Imbeddings of manifolds

- (i) An  $m$ -manifold is a Hausdorff second countable space  $X$  in which each  $x \in X$  has a neighborhood  $U_x \ni x$  such that  $U_x \approx \mathbb{R}^m$ .
- (ii) Examples of manifolds.
  - (a) A 1-manifold is called a *curve*. Examples of 1-manifolds are  $\mathbb{R}$ ,  $S^1$  etc.

- (b) A 2-manifold is also called a *surface*. The 2-sphere  $S^2$  and the torus  $S^1 \times S^1$  are orientable (two-sided) 2-manifolds, while the Möbius band and the Klein bottle are non-orientable (one-sided) 2-manifolds.
- (c) Examples of 3-manifolds are  $S^3$ ,  $S^2 \times S^1$ ,  $D^2 \times S^1$ ,  $S^1 \times S^1 \times S^1$  etc.
- (iii) Let  $X$  be a topological space. The *support* of a function  $\phi : X \rightarrow \mathbb{R}$  (denoted by  $\text{Supp}(\phi)$ ) is defined by

$$\text{Supp}(\phi) = \overline{\phi^{-1}(\mathbb{R} \setminus \{0\})}.$$

- (iv) Let  $\{U_1, \dots, U_n\}$  be a finite open covering for a space  $X$ . The an indexed family of continuous functions

$$\phi_i : X \rightarrow [0, 1], \text{ for } 1 \leq i \leq n,$$

is said to be the *partition of unity dominated by*  $\{U_i\}_{i=1}^n$  if:

- (a)  $\text{Supp}(\phi_i) \subset U_i$ , for  $1 \leq i \leq n$ , and
- (b)  $\sum_{i=1}^n \phi_i(x) = 1$ , for each  $x \in X$ .
- (v) (Existence of partitions of unity). Let  $\{U_i\}_{i=1}^n$  be a finite open covering for a normal space  $X$ . Then there exists a partition of unity dominated by  $\{U_i\}_{i=1}^n$ .
- (vi) Let  $X$  be a compact  $m$ -manifold. Then  $X$  can be imbedded in  $\mathbb{R}^n$ , for some suitably large positive integer  $n$ .